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| , \$ <sup>6</sup>   |  | Application Number | 09/998,512  |                                    |  |  |  |
|---|--|--------------------|---|------------------------------------|--|--|--|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) |  |                    | Filing Date   | November 30, 2001<br>Cheng, et.al. |  |  |  |
|   |  |                    | First Named Inventor  |                                    |  |  |  |
|   |  | Group Art Unit     | 1764  | RECEIVED                           |  |  |  |
|   |  | Examiner Name      | Unassigned  | APR 1 4 2003                       |  |  |  |
| Total Number of Page  | es in This Submission  | 4 + 4<br>ref.      | Attorney Docket<br>Number   | 2001B111                           | TC 1700  |  |  |
| · · · · · · · · · · · · · · · · · · ·                                     |  |                    | I<br>ENCLOSURES (check all t  | hat apply)                         |  |  |  |
| ☐ Fee Trans   | mittal Form  |                    | Assignment Pape (for an Application   | rs                                 | After Allowance Communication to Group   |  |  |
| A   | nt / Response<br>fter Final<br>ffidavits/declaration(  | s)                 | □ Drawing(s) □ Licensing-related Papers   |                                    | Appeal Communication to Board of Appeals and Interferences   |  |  |
| ☐ Express A   | <ul> <li>□ Express Abandonment Request</li> <li>☑ Information Disclosure Statement</li> <li>□ Certified Copy of Priority Documents)</li> </ul> |                    | Petition Routing S and Accompanyin  To Convert a Prov Application               | g Petition                         | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information   |  |  |
| Document Response Incomplete  |  |                    | Power of Attorney Change of Corres Address  Terminal Disclaim Request for Refur | pondence<br>er                     | ☐ Status Letter  ☐ Additional Enclosure(s) (please identify below):  Transmittal of IDS Statement, Form 1449 with 4 references and Return Receipt Postcard |  |  |
|   | ·  |                    | REMARKS   |                                    |  |  |  |
|   |  |                    | RE OF APPLICANT, ATTO   |                                    |  |  |  |
| Firm Or Individual name   | Or Law Technolgy   |                    |   | npany Registration No. 38,681      |  |  |  |
| Signature   | 7  | Cal                | /   |                                    | · · ·  |  |  |
| Date  | April 04, 2003   |                    | CERTIFICATE OF TRANS  | MISSION                            |  |  |  |
| Patents, P. O. Box 14   | information and a reas<br>50, Alexandria, Virginia<br>oril 04, 2003  |                    | asis for belief that this correspo  |                                    | ent via First Class mail to: Commissioner for  |  |  |
| Typed or printed na   | me Paul T. LaVo  | ie                 |   |                                    |  |  |  |
| Signature P   |  |                    | al-   | Date                               | April 04, 2003   |  |  |



 $\Box$ 

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d) In Re Application of: U.S. Serial No. Filing Date 09/998,512 November 30, 2001 Not Yet Assigned Attny. Docket No. 2001B111 Examiner Group Art Unit 1764

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

APR 1 4 2003 TC 1700

| 37 | <b>CFR</b> | 8 | 1.9 | 7 | (b) |  |
|----|------------|---|-----|---|-----|--|
|    |            |   |     |   |     |  |

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

## 37 CFR § 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:
  - 1. a Final Action under § 1.113,
  - 2. a Notice of Allowance under § 1.311, or
  - 3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or
the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

## 37 CFR § 1.97(d)

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

| Applicant hereby request consideration of the 1449, submitted herewith.       | Information Disclosure Statement, USPTO form  |
|---|---|
| □ Legible copies of the references are enclosed.                              | sed.  |
| ☐ This application is a: ☐ Continuation / Divisional, ☐ Continuation-in-Part, |   |
| of U.S.S.N.  ⊠ enclosed; □ not enclosed. References as enclosed.              | . Copies of the cited references are re available in the parent application(s) if not   |
| •   | , _   |
| 1.12  | polication is a: Continuation / Divisional, continuation-in-Part,  Copies of the cited references are ed; not enclosed. References are available in the parent application(s) if not enissioner is hereby authorized to charge any additional fees which may be required eer, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate as form is enclosed.  Respectfully submitted,  Paul T. LaVoie Registration No. 38,681 |
| April 4, 2002   | 70,0 CaV  |
| April 4, 2003  Date   | Paul T. LaVoie  |
| Date  |   |
| ExxonMobil Chemical Company   |   |
| Law Technology  |   |
| P O Roy 2149  |   |

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## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

| ATTY. DOCKET NO.  | SERIAL NO.  |
|-------------------|-------------|
| 2001B111 ··       | 09/998,512  |
| A                 | APPLICANT   |
| Cl                | neng et al. |
| FILING DATE       | GROUP       |
| November 30, 2001 | 1764        |

|                                       |    |                    |                  | November 30, 2001 |               | 1764             |               |                               |      |
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|                                       |    |                    | U.S. PA          | TENT DOCU         | JMENTS        |                  |               |                               |      |
| EXAMINER<br>INITIAL                   |    | DOCUMENT<br>NUMBER | DATE             | NA                | ME            | CLASS            | SUBCLASS      | FILING DATE<br>IF APPROPRIATE |      |
|                                       | AA | 6,072,095          | 06/06/00         | Mario             | n et al.      | 585              | 639           |                               |      |
|                                       | AB | 6,121,504          | 09/19/00         | Kuechl            | er et al.     | 585              | 640           |                               | •    |
|                                       | AC |                    |                  |                   |               |                  |               |                               |      |
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|                                       |    | NUMBER             | DATE             | COUR              | COUNTRY CLAS  | CLASS            | SUBCLASS      | YES                           | l no |
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|                                       | AP | "Encyclopedia of   | f Chemical Te    | chnology",        | page 15, .    | John Wiley &     | Sons, New Y   | ork, (198                     | 1).  |
| KAMINER                               | 1  |                    |                  |                   | DATE CONS     | SIDERED          |               |                               |      |
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not considered. Include copy of this form with next comment to applicant